

PART B - FEE(S) TRANSMITTAL

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8/13/2004

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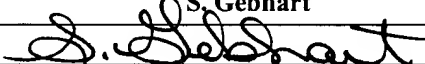
FISH & RICHARDSON P.C.
12390 EL CAMINO REAL
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11/04/2004 HMEKONE1 00000086 09649969

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| | |
|--|--------------------|
| S. Gebhart | (Depositor's name) |
|  | (Signature) |
| 11/1/2004 | (Date) |

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|-------------------------|-----------------------|------------------|
| 09/649,969 | 08/28/2000 | Lawrence Cary Gunn, III | 06618/692001/CIT-3277 | 8911 |

TITLE OF INVENTION: OPTICAL SYSTEM USING ACTIVE CLADDING LAYER

| APPLN. TYPE | SMALL ENTITY | ISSUE FEE | PUBLICATION FEE | TOTAL FEE(S) DUE | DATE DUE |
|----------------|--------------|-----------|-----------------|------------------|------------|
| nonprovisional | YES | \$665-685 | \$0 | \$665 685 | 11/15/2004 |

| EXAMINER | ART UNIT | CLASS-SUBCLASS |
|----------------|----------|----------------|
| NGUYEN, PHILIP | 2828 | 372-092000 |

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

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2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1. Fish & Richardson P.C.

2.

3.

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE (CITY and STATE OR COUNTRY)

California Institute of Technology

Pasadena, CA

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ individual ☒ corporation or other private group entity ☐ government

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☒ Issue Fee☐ Publication Fee☒ Advance Order - # of Copies 10

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(Authorized Signature)

Scott C. Harris
32,030

(Date) November 1, 2004

/BY
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